

TRADING ACCOUNT OPENING PROCESS

Step-by-Step procedures for opening and account, filing a complaint on designated email id, and finding out the status of the complaint etc.

1. Fill in the application form

You will need to fill and signed at all the indicated places shown as mandatory with respective details in KYC form for opening a new trading account with Dhanki Securities Pvt. Ltd. You may also sign voluntary documents/authorization along with require details. You will need to submit a list of self-attested proofs/documents for Identity Proof and Address Proof, such as PAN card, Aadhar Card, Latest Bank account statement, Latest Demat account statement, latest Income proof, etc. You have to also indicate the segment details were you wish to trade.

If you are KRA and CERSAI Compliant, you need to inform Dhanki Securities Pvt. Ltd. accordingly and we will fetch your basic KYC details available on KRAs and CERSAI.

You need to fill and signed FATCA-CRS Form, Nomination Form, and also mention Email ID, Mobile No. etc in contact details.

Your PAN should be link with your Aadhar card number. Further your KRA validation also need to be done.

2. Verification process

You shall be provided the set of Policies and Procedures, Do's and Don'ts, Investor Rights and Obligations, Risk Disclosure Document, Prevention of Money Laundering Literature, etc. by Dhanki Securities Pvt Ltd. You need to read, understand and acknowledge by signing the acknowledgement page in the KYC document for records. The Dhanki Securities Pvt. Ltd. will conduct an in-person verification of you and your KYC documents.

3. Final approval

Once your documents are verified, and the final formalities are completed, your new trading account will be opened in Exchanges and our Back office Broker's systems. You will be intimated by Email / Letter about the Unique Client ID for your account for executing trades along with segment.

Email id's to lodge complaint for any grievances/status of complaint/enquiry/query with Dhanki Securities Pvt. Ltd.

Investor Grievances email id - investors@dhankisecurities.com/igrm@dhankisecurities.com

Contact Number - 022-61717501/500,

Exchanges - BSE- is@bseindia.com, 91-022-22728097

NSE - ignse@nse.co.in, 1800220058

The process flow is available on our website - www.dhankisecurities.com, on "Homepage" - SCORES and under Header "Download" - File - Investor Charter as Stock Broker

Branch Offices:

114, Dalamal Tower, 211, Free Press Journal Road, Nariman Point, Mumbai - 400 021. Tel.: +91-22-22810712, 22842766

R-401, 4th Floor, Rotunda, Mumbai Samachar Marg, Mumbai - 400001. Tel.: +91-22-22722562, 22721512, Fax: +91-22-22826176

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